The information provided in this Application will help SCS determine eligibility and scope of service. No charges will be incurred, nor work conducted, until a Work Order is executed.

**Please note**: applicants are required to complete Appendix 1 and/or Appendix 2, depending on their certification needs.

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| 1. Company/Organization Information | | | | | |
| **COMPANY INFORMATION** | | | | | |
| Company Name (*as it would appear on a contract*): | | | | | |
| Street: | | | | | |
| City: | State/Province: | | | Postal Code: | |
| Country: | | | | | |
| Main Phone: | | | Website: | | |
| Brief Company Description: | | | | | |
| **PRIMARY CONTACT PERSON** | | | | | |
| First Name: | | Last Name: | | | Title: |
| Direct Phone: | | Email: | | | |
| **COMPANY AFFILIATIONS WITH OTHER LEGAL ENTITIES *(parent co, subsidiaries)*** | | | | | |
| Company Name: | | | Corporate Relationship: | | |
| Has the company worked with SCS previously?  **Yes**  **No**    Which service, or services, did you use? | | | | | |
| **COMPANY LEGAL STATUS *(e.g. INC., LLC., GMBH, LTD., NGO)*** | | | | | |
| What is the legal status of your company? | | | | | |

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| **2. Certification Information** | | | |
| **Types of services required (check all that apply)** | | | |
|  | FSC® Forest Management Preliminary Evaluation  *(****Required*** *for operations over 50,000 HA (10,000+ HA for plantations), and operations exhibiting areas of High Conservation Value Forest (HCVF). Otherwise voluntary.)* |  | FSC Forest Management Full Assessment |
|  | FSC Controlled Wood  *(Controlled Wood is material that has been verified as having low probability of containing wood or fiber from non-controversial sources, and can be mixed with certified material during manufacturing FSC mix product.* ***It cannot carry any FSC trademarks, however.)*** |  | LegalHarvestTM Verification  *(SCS LegalHarvest Verification (LHV) ensures that material that originates from a verified management system takes measures to comply with national and local harvesting and trade laws. LHV is used to provide exporters and importers a reasonable level of assurance of legal compliance.* ***Only SCS trademarks can be used.)*** |
|  | FSC Forest Management Certificate Transfer |  | SFI or RW Forest Management Certification Audit |
|  | SFI or RW Forest Management Certificate Transfer |  | SFI Fiber Sourcing Certification Audit |
|  | SFI Fiber Sourcing Certificate Transfer |  | ATFS Certification Audit |
|  | ATFS Certificate Transfer |  | Not sure/Other*(please describe)*: |
| **What is your organization’s level of experience with forest management assessments?** | | | |
|  | New to this process |  | *Previously* certified/audited  If previously certified with another certification body, please list: |
|  | *Currently* certified by another certification body *(Please note that FSC certificate transfers must comply with the FSC Procedure 20-003 (Transfer of Certificates and License Agreements). This document is available at www.fsc.org)* | | |
| Comments**:** | | | |
| Desired date /time frame for certification assessment: | | | |
| Desired date / time for award of certification (if warranted): | | | |
| If FSC certified within the last five (5) years, please provide the latest FSC audit report with this application. | | | |

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| **3. Multiple FMU or Group Clients** *(If applicable, please list all participating forest sites. If necessary, please attach additional documentation listing all group members/participating sites.)* | | | | | |
| **FMU1 name** | **FMU Location** | **FMU Area** | | **Forest type**  (natural, plantation, community managed, etc) | **Annual Harvest**  (m3 or other units) |
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| 4. General Information | | | | | |
| **What factors contributed to your interest in SCS services?** | | | | | |
| Compliance  Customer Interest  Supplier Programs | | | Strategy  Buyer Requirement  Other | | |
| **Would you like information on other SCS Services?** | | | | | |
| Climate  *(Carbon Offset, Carbon Footprint, etc.)*  Environmental Claims  *(Product Certification, Life Cycle Assessment, etc.)*  Food and Agriculture  *(Safety, Testing, Sustainability, etc.)* | | | Responsible Forestry  *(FSC, PEFC, Timber Legality)*  Sustainable Seafood  *(MSC, ASC, Seafood Safety)*  Sustainability Services  *(Consulting, Supply Chain, Sustainability Metrics)* | | |
| **How did you learn about SCS Global Services? Who referred you to SCS?** | | | | | |

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| 5. Affirmation |
| I affirm that the information provided herein is true and correct to the best of my knowledge, and that I am duly authorized to sign this application. Should our company decide to pursue certification, I agree to supply any information that is deemed necessary for the audit of the operation and/or products to be certified. |
| **Print Name:** |
| **Title:** |
| **Signature** (*electronic or typed accepted*): |
| **Date:** |

Please email completed application to:

Brendan Grady, Forest Management

[bgrady@scsglobalservices.com](mailto:bgrady@scsglobalservices.com)

Phone: 510-452-8034 | Fax: 510-452-6882

We will be in touch as soon as possible.

**Thank you for choosing SCS.**

# APPENDIX 1: FOREST STEWARDSHIP COUNCIL *ONLY*

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| 1. Additional Project Information (e.g. history, background, structure of group management, etc.) |
| Does your company currently purchase any FSC certified material *(e.g. logs or lumber)* and pass that material on to the customer with an FSC claim?  **Yes  No**  If **yes**, please describe sources and activities involved: (*Please include location, size, type of operation and ownership. Depending on the type of facility, a separate chain-of-custody audit may be required.)* |
| Are there any primary or secondary wood processing facilities located within the FMU or otherwise associated with the Forest Management Organization, from which you currently sell or intend to sell FSC-certified products?  *(e.g. facilities may include a chipping operation, portable or permanent sawmill, veneer mill, pulp mill, etc.)*  **Yes  No**  If **yes**, please describe the facility associated with the forest management unit under assessment: (*Please include location, size, type of operation and ownership. Depending on the type of facility, a separate chain-of-custody audit may be required.)* |
| Do you wish to include any Non-Timber Forest Products (NTFPs) in the scope of your FSC certification, such as rubber, resin, cork, maple syrup, game, berries or nuts? *Note: Certain NTFP’s may require evaluation against a separate FSC standard.*  **Yes  No**   1. If yes, please describe the NTFP to be considered for FSC certification, including a description of harvesting practices and processing:      1. If you are aware of any existing Standards for the NTFP of interest, please list below. |

# APPENDIX 2: SUSTAINABLE FORESTRY INITIATIVE, AMERICAN TREE FARM SYSTEM & RESPONSIBLE WOOD *ONLY*

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| 1. Company/Organization Information |
| Number of Full Time Equivalents (FTE), including staff and subcontractors: |
| Description of organizational structure/arrangements (e.g. the number of regional and/or district offices; and other business premises, e.g. depots, chemical storage, workshops and nurseries): |
| If multisite certification is being sought, is planning and organizing at participating sites controlled by a central office with which the participating sites have a legal relationship? |
| Please describe any processes outsourced by your organization that may affect conformity to SFI or ATFS requirements: |
| Has your company been provided consultancy relating to the management system to be certified and, if so, by whom? |

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| 2. Forest Management Unit Information (for Forest Management applicants only) |
| Total Defined Forest Area (DFA):       indicate if acres or hectares  *Note:* Defined Forest Area*, DFA is an area of forest (including land and water) to which the requirements of the Standard are applied. It includes productive and non-productive forest areas, streamside reserves, conservation areas, and roads, etc. The defined forest area is described by survey plans, legal title(s), governmental notice, or GIS shapefiles whether as private, joint venture, agreement, lease or public land. The forest manager seeking certification to the Standard will need to demonstrate management control and legal rights over the forest operations in the defined forest area through appropriate agreements and contracts, which allows them to achieve all of the requirements.* |
| Number of Forest Management Units that make up the DFA: |
| Number of *blocks* or *management units* within each FMU and how many are active: |
| Description of Activities being carried out within each FMU at the desired time frame of the audit: |
| Description of the nature of the terrain: |
| Length of roads within the DFA:       km or miles, please specify. |
| Description of the complexity of biodiversity values (high conservation value areas or areas of exceptional biodiversity), the environmental protection and management programs, and controls required: |

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| 3. Fiber Sourcing Information (for Fiber Sourcing applicants only) | | |
| Is this a multi-site client?:  **Yes  No** | | |
| If yes selected above, please list the sites below. | | |
| **Site Name** | **Site Address** | **Primary Site Activity** |
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| Number of Procurement Groups: | | |
| Do any Procurement Groups Purchase Stumpage?:  **Yes  No** | | |